

Why some cubical models don't present spaces

Evan Cavallo
University of Gothenburg

joint work with
Christian Sattler

Constructivity vs. homotopy theory

“HoTT is a constructive language for homotopy theory”

⊗ *for homotopy theory:*

- ⊗ interpret in simplicial sets (Kapulkin–Lumsdaine '21)
- ⊗ interpret in any ∞ -topos (Shulman '19)

⊗ *constructive:*

- ⊗ constructive interpretations:
 - ⊙ in cubical settings (references to come)
 - ⊙ in simplicial sets? work in progress
(Gambino–Henry '19, van den Berg–Faber '22)
- ⊗ homotopy canonicity (Kapulkin–Sattler '??, Bocquët '23)

Constructivity vs. homotopy theory

- ⊗ Classically, have “standard homotopy theory”
 - ⊗ Topological spaces, simplicial sets, *etc.* are equivalent, present a well-behaved $(\infty, 1)$ -category of spaces
- ⊗ Constructive picture more nuanced, still developing (Shulman '21, “The derivator of setoids”)
- ⊗ Starter question:

which *constructive* interpretations
classically present spaces?

Constructivity vs. homotopy theory

which *constructive* interpretations
classically present spaces?

- ⊗ Equivariant fibrations in cartesian cubical sets
(Awodey–C–Coquand–Riehl–Sattler '??)
- ⊗ Cartesian cubical sets + one connection
(C–Sattler '22)
- ⊗ Constructive simplicial set \sim interpretations

Constructivity vs. homotopy theory

this talk:

which constructive interpretations
classically *do not* present spaces?

many cubical interpretations!

- ⊗ ideas sketched in Sattler's 2018 talk
“Do cubical models of type theory also model homotopy types?”
- ⊗ portion in Coquand's 2018 note
“Trivial cofibration-fibration factorization with one application”
[@ groups.google.com/g/homotopytypetheory/c/RQkLWZ_83kQ](https://groups.google.com/g/homotopytypetheory/c/RQkLWZ_83kQ)
- ⊗ full writeup from Christian and I on the way 😊

Constructivity vs. homotopy theory

why care?

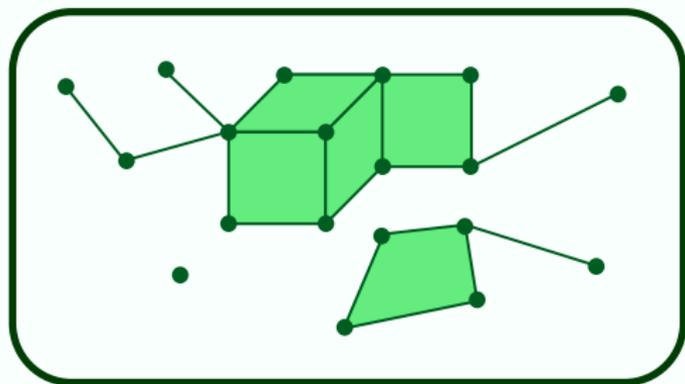
- ⊗ motivates, e.g. “equivariance” fix in cartesian cubes
- ⊗ gives some hint towards characterizing these models?
- ⊗ some general tools for comparing with spaces

Table of contents

- (1) Interpreting HoTT in cubical sets
- (2) Invariants of model categories
- (3) Counterexamples

Interpreting HoTT in cubical sets

⊗ cubical sets = presheaves on a *cube category* □



A CUBICAL SET

⊗ choice of □ determines structure inherent in a cube

⊗ does every square have a diagonal?

⊗ for every edge, is there an edge in the opposite direction?

Kan's cubical sets

- ⊗ starting point is Daniel Kan '55:

homotopy theory with the *minimal cube category*

- ⊗ objects look like $\mathbf{I} \otimes \cdots \otimes \mathbf{I}$

- ⊗ every n -cube has two faces along each axis

$$\mathbf{I} \otimes \delta_0 \otimes \mathbf{I} : \mathbf{I} \otimes \mathbf{I} \rightarrow \mathbf{I} \otimes \mathbf{I} \otimes \mathbf{I}$$

$$\mathbf{I} \otimes \mathbf{I} \otimes \delta_1 : \mathbf{I} \otimes \mathbf{I} \rightarrow \mathbf{I} \otimes \mathbf{I} \otimes \mathbf{I}$$

- ⊗ every n -cube can be seen as a degenerate $(n + 1)$ -cube

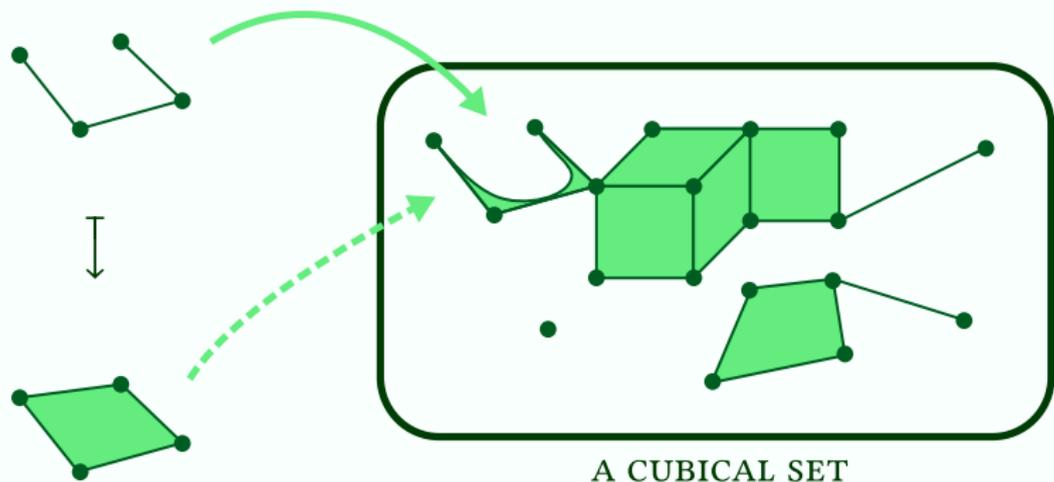
$$\mathbf{I} \otimes \varepsilon : \mathbf{I} \otimes \mathbf{I} \rightarrow \mathbf{I}$$

- ⊗ and some equations, and that's it.

- ⊗ the cubical sets that encode spaces are those with *box filling*.

Kan's cubical sets

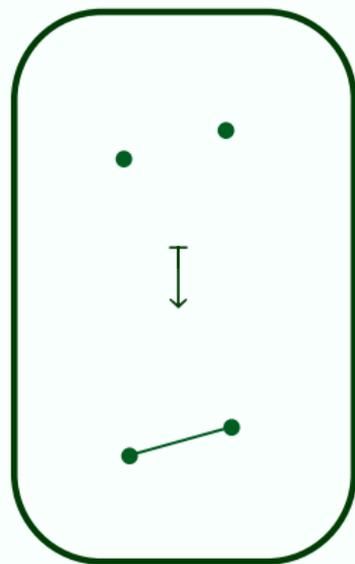
⊗ *box filling*: every “open box” is filled by a cube



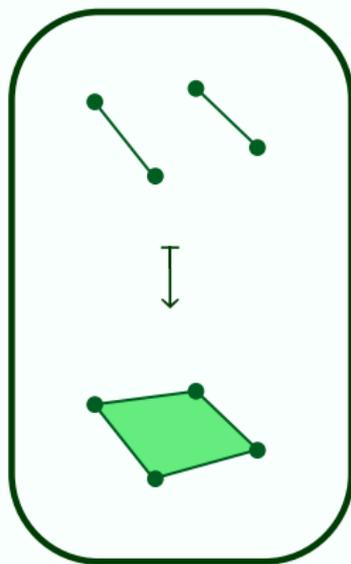
Kan's cubical sets

⊗ how are open boxes formed?

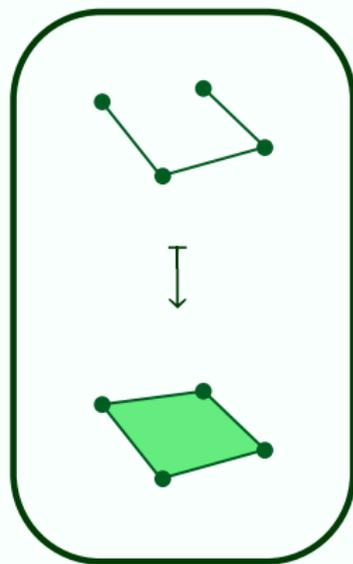
(1) start from the boundary of a cube:



(2) stretch everything in a new direction:



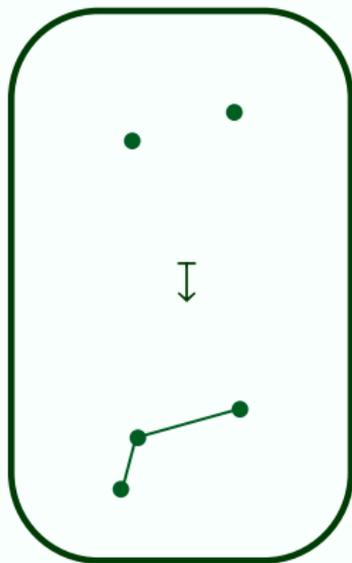
(3) add a “cap” on the top or bottom:



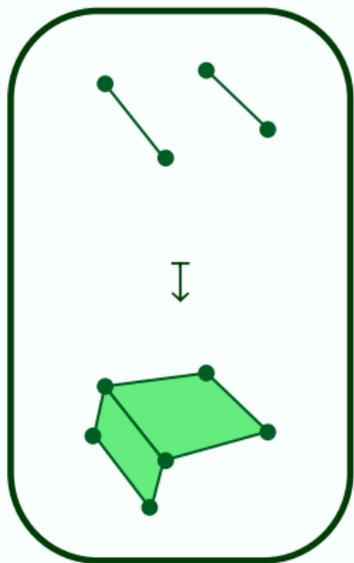
Kan's cubical sets

⊗ how are open boxes formed? – equivalent take 2

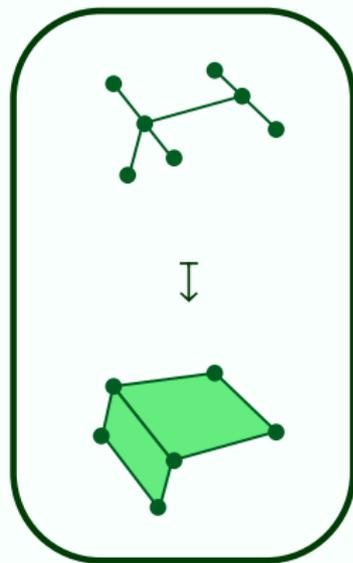
(1) start from a subobject of a \square -set



(2) stretch everything in a new direction:



(3) add a “cap” at a “generalized point”:



Interpreting HoTT in cubical sets

- ⊗ To model HoTT constructively, want more structured \square
- ⊗ Bezem–Coquand–Huber '13, '19:
in *affine cubical sets*

$$\mathbf{I} \otimes \mathbf{I} \xrightarrow[\cong]{\sigma} \mathbf{I} \otimes \mathbf{I}$$

- ⊗ Cohen–Coquand–Huber–Mörtberg '15:
in *De Morgan cubical sets*

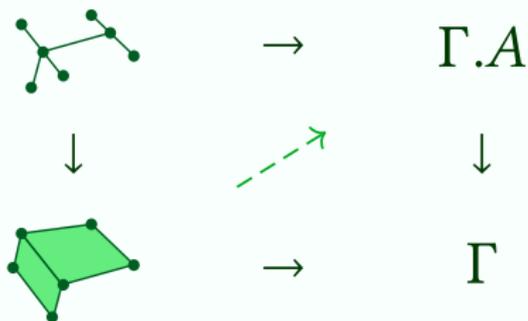
$$\otimes \text{ is } \times \quad \mathbf{I} \otimes \mathbf{I} \xrightarrow[\cong]{\vee, \wedge} \mathbf{I} \quad \left(\mathbf{I} \xrightarrow[\cong]{\neg} \mathbf{I} \right)$$

- ⊗ Angiuli–Favonia–Harper '18,
Angiuli–Brunerie–Coquand–Harper–Favonia–Licata '21
in *cartesian cubical sets*

$$\otimes \text{ is } \times$$

Interpreting HoTT in cubical sets

- ⊗ In all cases, interpret types $\Gamma \vdash A$ by maps with box filling, *i.e.* right lifting against box inclusions



- ⊗ Is it still homotopically reasonable for these \square 's?

Model structures on cubical sets

- ⊗ Do still get *Quillen model structures* on \square -sets (constructively!):
(Sattler '17, C-Mörtberg-Swan '20, Awodey '23)

cofibrations ($\blacktriangleright \rightarrow$)
(decidable) monos

fibrations ($\rightarrow \blacktriangleright$)
right lift against box inclusions

trivial cofibrations ($\blacktriangleright \rightsquigarrow$)
left lift against \rightarrow
 \cup
box inclusions

trivial fibrations ($\rightsquigarrow \blacktriangleright$)
right lift against $\blacktriangleright \rightarrow$

weak equivalences (\rightsquigarrow) = $\rightsquigarrow \circ \blacktriangleright \rightsquigarrow$

- ⊗ So, at least well-defined notion of homotopy

Model structures on cubical sets

- ⊗ Can compare model categories up to *Quillen equivalence*, e.g. to the standards on simplicial sets / topological spaces

- ⊗ Also have *test model structures* on \square -sets to compare directly
 - ⊗ Cisinski '06: any *test category* admits a model structure
 - ⊙ with $\blacktriangleright \rightarrow = \blacktriangleright \rightarrow$
 - ⊙ Quillen equivalent to simplicial sets

 - ⊗ Buchholtz-Morehouse '17: our \square 's are test categories

 - ⊗ Doesn't give very explicit def'n of \rightarrow
—not so easy to compare

What could go wrong?

- ⊗ Intuition: any space should be an h-colimit of contractible things
- ⊗ Cubes are made of just one point:

$$1 \xrightarrow[\delta_0]{\sim} \mathbf{I} \xrightarrow[\mathbf{I} \otimes \delta_0]{\sim} \mathbf{I}^2 \xrightarrow[\mathbf{I}^2 \otimes \delta_0]{\sim} \mathbf{I}^3 \xrightarrow{\sim} \dots$$

- ⊗ More structure on $\square \implies$ more potentially exotic objects

$$\mathbf{I}^2 / \sigma = \operatorname{colim} \left\{ \mathbf{I}^2 \looparrowright \sigma \right\}$$

$$\mathbf{I} / \neg = \operatorname{colim} \left\{ \mathbf{I} \looparrowright \neg \right\}$$

$$\operatorname{colim} \left\{ \begin{array}{c} i, j \mapsto i \wedge j, j \\ \curvearrowright \\ \mathbf{I}^2 \\ \curvearrowleft \\ i, j \mapsto i, i \vee j \end{array} \right\}$$

$$\operatorname{Im} \left\{ \mathbf{I}^3 \xrightarrow{i, j, k \mapsto i \wedge j, j \wedge k, i \vee k} \mathbf{I}^3 \right\}$$

What could go wrong?

$$\mathbf{I}^2/\sigma = \operatorname{colim} \left\{ \mathbf{I}^2 \rightrightarrows \sigma \right\} \quad \mathbf{I}/\neg = \operatorname{colim} \left\{ \mathbf{I} \rightrightarrows \neg \right\}$$

- ⊗ Topologically, look like they should be contractible
- ⊗ Sometimes we know they are:

$$\begin{aligned} \mathbf{I}^2/\sigma \times \mathbf{I} &\rightarrow \mathbf{I}^2/\sigma \\ (i, j), t &\mapsto (i \vee t, j \vee t) \end{aligned}$$

- ⊗ What does the test model structure say?
 - ⊗ In test cartesian \square -sets, \mathbf{I}^2/σ is contractible
 - ⊗ (Buchholtz) In test De Morgan \square -sets, \mathbf{I}/\neg is $K(\mathbb{Z}_2, 1)$
 - ⊗ (Sattler) In test affine \square -sets, \mathbf{I}^2/σ is $\Sigma K(\mathbb{Z}_2, 1)$

Table of contents

- (1) Interpreting HoTT in cubical sets
- (2) Invariants of model categories
- (3) Counterexamples

Invariants of model categories

- ⊗ Not enough to show particular realization isn't an equivalence, nor to show that test model structure is different
- ⊗ Seek property invariant under Quillen equivalence that is characteristic of spaces and fails in some \square -sets

Def'n: A fibration between fibrant objects f is *fiberwise trivial* if its pullbacks along trivially fibrant objects are trivial:

$$\begin{array}{ccc} Y_x & \dashrightarrow & Y \\ \downarrow \lrcorner & & \downarrow f \\ K & \xrightarrow{x} & X \end{array} \quad \text{for all } K \simeq 1, x: K \rightarrow X$$

Def'n: Say **FTFT** holds in a model category when all fiberwise trivial fibrations btw fibrant objects are trivial fibrations

Fiberwise triviality

Def'n: Say **FTFT** holds in a model category when all fiberwise trivial fibrations btw fibrant objects are trivial fibrations

Write **FTFT**₋₁ for property restricted to *propositional* fibrations ($f : Y \twoheadrightarrow X$ such that $\Delta_Y : Y \xrightarrow{\sim} Y \times_X Y$)

Th'm: These are invariant under Quillen equivalence

- ⊗ No surprise for experts; in $(\infty, 1)$ -cat language they say:
“if every pullback of (mono) f along $x : 1 \rightarrow X$ is iso, then f is iso”
- ⊗ In paper we also look at excluded middle; skipping today

Fiberwise triviality

⊗ In simplicial sets, let f be fiberwise trivial:

$$\begin{array}{ccc} \partial\Delta^n & \longrightarrow & Y \\ \downarrow & & \downarrow f \\ \Delta^n & \longrightarrow & X \end{array}$$

Fiberwise triviality

- ⊗ In simplicial sets, let f be fiberwise trivial:

$$\begin{array}{ccccc}
 \partial\Delta^n & \overset{\curvearrowright}{\dashrightarrow} & Y_x & \dashrightarrow & Y \\
 \downarrow & \nearrow & \downarrow & \lrcorner & \downarrow f \\
 \Delta^n & \xrightarrow{\sim} & \Delta^n_{\text{fib}} & \xrightarrow{x} & X
 \end{array}$$

- ⊗ So spaces have **FTFT**
- ⊗ Even holds constructively in constructive Kan–Quillen model structure of Henry '19, Gambino–Sattler–Szumiło '22

Fiberwise triviality

⊗ Intuition by looking at discrete model categories

$$\rightarrow = \blacktriangleright \rightarrow = \text{all maps} \quad \xrightarrow{\sim} = \text{isomorphisms}$$

Th'm: The following are equivalent in a discrete model cat \mathbb{C} :

1. **FTFT**

2. **FTFT₋₁**

3. $\mathbb{C}(1, -)$ is *conservative* ($\mathbb{C}(1, f)$ iso $\implies f$ iso)

⊗ A 1-topos where this holds and $0 \neq 1$ is called *well-pointed*

⊗ Any well-pointed Grothendieck topos is **Set**

Fiberwise triviality

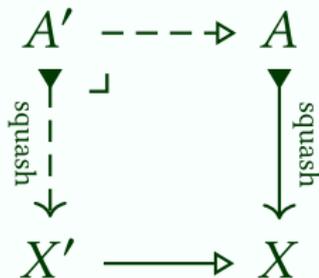
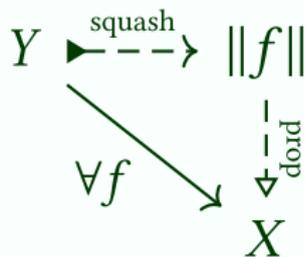
- ⊗ Example: n -truncated simplicial sets have **FTFT**
- ⊗ Exotic example: *parameterized spectra* $\int_X \mathbf{Spectra}_X$
(which present a Grothendieck ∞ -topos) has **FTFT**
- ⊗ Exotic example: $\mathbf{Set} \times \mathbf{Spectra}$ has **FTFT**₋₁ but not **FTFT**
(don't know an ∞ -topos example)

With propositional truncation

Def'n: Say a cofibration is *squash* if it left lifts against propositional fibrations

Def'n: Say a model category with pullback-stable cofibrations has a *stable propositional truncation* when

1. maps with fibrant codomain have (squash, prop) factorizations
2. squash maps with fibrant codomain preserved by pullback along fibrations



⊗ In discrete model categories: pullback-stable images

With propositional truncation

Th'm: The following are equivalent in a model cat with stable propositional truncations:

1. **FTFT**₋₁
2. every *fiberwise squash cofibration* with fibrant codomain is squash:

$$\begin{array}{ccc} A' & \dashrightarrow & A \\ \downarrow \text{squash} & \lrcorner & \downarrow \\ K & \xrightarrow{\vee} & X \end{array} \quad \Longrightarrow \quad \begin{array}{c} A \\ \downarrow \text{squash} \\ X \end{array}$$

where $K \xrightarrow{\sim} 1$

⊗ We'll use this characterization

Table of contents

- (1) Interpreting HoTT in cubical sets
- (2) Invariants of model categories
- (3) Counterexamples

Outline

- ⊗ For concreteness, look at cartesian cubes (\otimes is \times)
- ⊗ Candidate pathological object:

$$\mathbb{I}^2/\sigma = \operatorname{colim} \left\{ \mathbb{I}^2 \rightrightarrows \sigma \right\}$$

- ⊗ We'll show that

The diagram illustrates the relationship between three points, a quotient space, and its fiberwise quotient. On the left, three black dots are arranged in a triangle within a rounded square frame. An arrow points to the right, where the same three dots are shown, but they are now connected by lines to form a triangle. This triangle is shaded green and contains a spiral pattern, representing a quotient space. Below this, the equation $1 + 1 + 1 \blacktriangleright \longrightarrow \mathbb{I}^2/\sigma \blacktriangleright \xrightarrow{\sim} (\mathbb{I}^2/\sigma)_{\text{fib}}$ is shown, with the first arrow being a solid black arrow and the second being a dashed black arrow.

$$1 + 1 + 1 \blacktriangleright \longrightarrow \mathbb{I}^2/\sigma \blacktriangleright \xrightarrow{\sim} (\mathbb{I}^2/\sigma)_{\text{fib}}$$

is fiberwise squash but not squash

- ⊗ Intuition: fiberwise squash maps only can't add points,
but squash maps also can't add \mathbb{I}^2/σ 's

First half

Lemma: Given

$$A \twoheadrightarrow B \xrightarrow{\sim} B_{\text{fib}}$$

if $A \twoheadrightarrow B$ surjective on points then composite is fiberwise squash.

Proof: Depends on details—any point in B_{fib} connects to one in B

Instantiate with our candidate map:


$$1 + 1 + 1 \twoheadrightarrow \mathbb{I}^2 / \sigma \xrightarrow{\sim} (\mathbb{I}^2 / \sigma)_{\text{fib}}$$

Second half

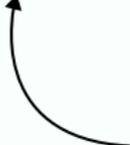
⊗ Idea: squashing doesn't add new isolated points

Lemma: If $A \twoheadrightarrow B \twoheadrightarrow B \sqcup C$ is squash, then C is empty.

⊗ Want to see squashing *also* doesn't add new “isolated” \mathbb{I}^2/σ 's

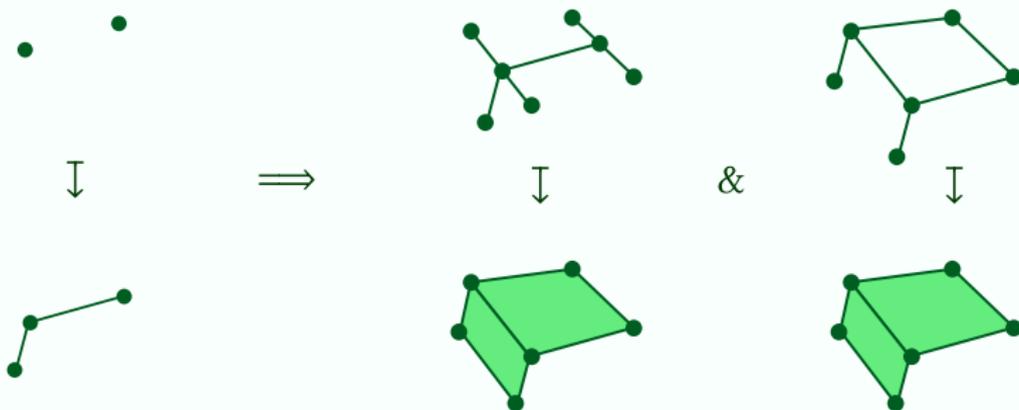
⊗ **Strategy:** show $[\mathbb{I}^2/\sigma, -]$ preserves squash cofibrations

$$A \xrightarrow{\text{squash}} B \quad \Longrightarrow \quad [\mathbb{I}^2/\sigma, A] \xrightarrow{\text{squash}} [\mathbb{I}^2/\sigma, B]$$

points in here 
are \mathbb{I}^2/σ 's in here 

Square quotients in squash cofibrations

- ⊗ **To show:** $[I^2/\sigma, -]$ preserves squash cofibrations
- ⊗ Use concrete description of squashing in \square -sets: generated by
 - ⊙ open box inclusions $(A \times I) \cup B \rightarrow B \times I$
 - ⊙ boundary inclusions $(A \times I) \cup (B \sqcup B) \rightarrow B \times I$



Square quotients in squash cofibrations

- ⊗ **To show:** $[I^2/\sigma, -]$ preserves squash cofibrations
 - ⊗ Use concrete description of squashing in \square -sets: generated by
 - ⊗ open box inclusions $(A \times I) \cup B \twoheadrightarrow B \times I$
 - ⊗ boundary inclusions $(A \times I) \cup (B \sqcup B) \twoheadrightarrow B \times I$
 - ⊗ Small object argument: every squash cofibration \twoheadrightarrow is
 - ⊗ a retract of...
 - ⊗ a transfinite composite of... **▲ classical!**
 - ⊗ pushouts of...
 - ⊗ generating squash cofibrations.
- “squash cofibration = composite of steps where we attach fillers”

Square quotients in squash cofibrations

- ⊗ Small object argument: every squash cofibration $\blacktriangleright \rightarrow$ is
 - ⊗ a retract of... – preserved by $[\mathbb{I}^2/\sigma, -]$ (and any functor)
 - ⊗ a transfinite composite of... – preserved by $[\mathbb{I}^2/\sigma, -]$
 - $[\mathbb{I}^2, -]$ preserves all colimits (tiny object)
 - compact objects (A s.t. $[A, -]$ preserves colims like these)
 - closed under finite colimits
 - ⊗ pushouts of... – preserved by $[\mathbb{I}^2/\sigma, -]$!
 - A such that $[A, -]$ preserves pushouts along $\blacktriangleright \rightarrow$
 - closed under finite monoid colimits
 - ⊗ generating squash cofibrations.
 - reduces to checking $[\mathbb{I}^2/\sigma, \mathbb{I}]$ contractible

Square quotients in squash cofibrations

⊙ generating squash cofibrations.

reduces to checking $[\mathbb{I}^2/\sigma, \mathbb{I}]$ contractible

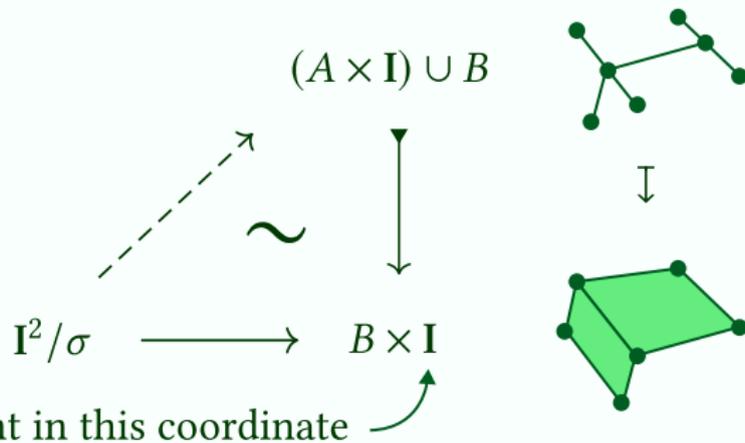
$[\mathbb{I}^2/\sigma, \mathbb{I}]$:

$(i, j) \mapsto 0$

$(i, j) \mapsto 1$

⊗ $(i, j) \mapsto i$

⊗ $(i, j) \mapsto j$



Putting it all together

⊗ We know that



The diagram consists of two square boxes connected by a mapping symbol \mapsto . The left box contains three black dots arranged in a triangle. The right box contains a green-shaded triangle with three black dots at its vertices and a black spiral in the center. Below the boxes, the equation $1 + 1 + 1 \blacktriangleright \longrightarrow \mathbf{I}^2/\sigma \blacktriangleright \xrightarrow{\sim} (\mathbf{I}^2/\sigma)_{\text{fib}}$ is written.

$$1 + 1 + 1 \blacktriangleright \longrightarrow \mathbf{I}^2/\sigma \blacktriangleright \xrightarrow{\sim} (\mathbf{I}^2/\sigma)_{\text{fib}}$$

is fiberwise squash

⊗ To show it's not squash, now suffices to show

$$[\mathbf{I}^2/\sigma, 1 + 1 + 1] \blacktriangleright \longrightarrow [\mathbf{I}^2/\sigma, (\mathbf{I}^2/\sigma)_{\text{fib}}]$$

is not squash

Putting it all together

- ⊗ To show it's not squash, now suffices to show

$$[\mathbf{I}^2/\sigma, 1 + 1 + 1] \dashrightarrow [\mathbf{I}^2/\sigma, (\mathbf{I}^2/\sigma)_{\text{fib}}] \sim [\mathbf{I}^2/\sigma, \mathbf{I}^2/\sigma]_{\text{fib}}$$

is not squash

- ⊗ maps $\mathbf{I}^2/\sigma \rightarrow 1 + 1 + 1$ are constants
- ⊗ maps $\mathbf{I}^2/\sigma \rightarrow \mathbf{I}^2/\sigma$ are

constants:

$$(i, j) \mapsto (0, 0)$$

identity:

$$(i, j) \mapsto (i, j)$$

that's it:

$$\otimes (i, j) \mapsto (i, 0)$$

Putting it all together

- ⊗ To show it's not squash, now suffices to show

$$[\mathbf{I}^2/\sigma, 1 + 1 + 1] \blacktriangleright \longrightarrow [\mathbf{I}^2/\sigma, (\mathbf{I}^2/\sigma)_{\text{fib}}] \sim [\mathbf{I}^2/\sigma, \mathbf{I}^2/\sigma]_{\text{fib}}$$

is not squash

- ⊗ maps $\mathbf{I}^2/\sigma \rightarrow 1 + 1 + 1$ are constants

- ⊗ maps $\mathbf{I}^n \times \mathbf{I}^2/\sigma \rightarrow \mathbf{I}^2/\sigma$ are

constants:

$$\vec{k}, (i, j) \mapsto f(\vec{k})$$

identity:

$$\vec{k}, (i, j) \mapsto (i, j)$$

that's it.

$$[\mathbf{I}^2/\sigma, \mathbf{I}^2/\sigma] \cong \mathbf{I}^2/\sigma + 1 \quad \leftarrow \text{point outside image of } 1 + 1 + 1!$$

Summarizing



The diagram illustrates a mapping from three points to a triangle with a spiral. On the left, a square contains three black dots at the bottom-left, bottom-right, and top-right corners. An arrow points to the right, where a square contains a green triangle with vertices at the same three corners. Inside the triangle is a green spiral. Below the diagram, the equation $1 + 1 + 1 \rightsquigarrow \mathbb{I}^2/\sigma \rightsquigarrow (\mathbb{I}^2/\sigma)_{\text{fb}}$ is shown.

$$1 + 1 + 1 \rightsquigarrow \mathbb{I}^2/\sigma \rightsquigarrow (\mathbb{I}^2/\sigma)_{\text{fb}}$$

⊗ Doesn't add points \implies fiberwise squash

⊗ Adds a new $\mathbb{I}^2/\sigma \implies$ not squash!

\implies cartesian box-filling model structure fails **FTFT**

\implies cartesian box-filling model structure is not spaces.

Other cube categories

⊗ This version works in

- ⊗ cartesian cubical sets
- ⊗ affine cubical sets

$$\mathbf{I}^2/\sigma = \operatorname{colim} \left\{ \mathbf{I}^2 \rightrightarrows \sigma \right\}$$

⊗ But not with connections!

$$\left. \begin{array}{l} \mathbf{I}^2/\sigma \times \mathbf{I} \rightarrow \mathbf{I}^2/\sigma \\ (i, j), t \mapsto (i \vee t, j \vee t) \end{array} \right\} \begin{array}{l} \text{id} \in [\mathbf{I}^2/\sigma, \mathbf{I}^2/\sigma] \text{ is not isolated} \\ \text{but contracts to a constant} \end{array}$$

⊗ Can use a different quotient in

- ⊗ De Morgan cubical sets
- ⊗ boolean cubical sets

$$\mathbf{I}/\neg = \operatorname{colim} \left\{ \mathbf{I} \rightrightarrows \neg \right\}$$

Other cube categories

- ⊗ For box-filling model structures:

Affine (BCH)	$\delta, \varepsilon, \sigma$	✗
Cartesian (AFH+ABCFHL)	$\delta, \varepsilon, \Delta, \sigma$	✗
Semilattice (CS)	$\delta, \varepsilon, \Delta, \sigma, \vee,$	✓
Dedekind	$\delta, \varepsilon, \Delta, \sigma, \vee, \wedge$?
De Morgan (CCHM)	$\delta, \varepsilon, \Delta, \sigma, \vee, \wedge, \neg$	✗

- ⊗ Equivariant model structure fixes “cartesian” with more complicated open boxes—make \mathbf{I}^n/G contractible

Closing remarks

- ⊗ Christian recently found an explicit construction of a non-trivial fiberwise trivial fibration in these cases

Wait for the paper 😊

- ⊗ Hints towards characterizations of the model structures?

At least for cartesian cubes, think so (WIP!)

- ⊗ Do the equivariant and one-connection model structures validate **FTFT** *constructively*?

We are doubtful...

Thank you!