

Cubical Indexed Inductive Types

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jww Robert Harper

Higher inductive types

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- ⟳ roll quotients and inductive types into one

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data int where
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Higher inductive types

- ↻ roll quotients and inductive types into one

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→ In ordinary TT: Cauchy reals, QIITs, ...

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 - In ordinary TT: Cauchy reals, QIITs, ...
 - In higher-d TT: truncations, ...

Higher inductive types: what are they?

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- HoTT: idea, many examples
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 - truncations, localizations (higher inductive types)
 - Cauchy reals (higher inductive-inductive types)

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- no precise syntactic schema
 - what forms can constructors take?
 - deriving eliminators

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- ⌚ no precise syntactic schema
 - what forms can constructors take?
 - deriving eliminators
- ⌚ missing semantics for many
 - how do programs with HITs compute?
 - which HITs exist in denotational models? (pushouts?)

Higher inductive types: what are they?

- ⌚ advances in syntax

- Sojakova 2014: W-suspensions
- Basold, Geuvers, & van der Weide 2017: 1-d HITs
- Dybjer & Moeneclaey 2017: 2-d HITs
- Kaposi & Kovács 2018: n -d HIITs

Higher inductive types: what are they?

- ⟳ advances in semantics
 - Lumsdaine & Shulman 2017: simplicial model cats
 - syntax? HITs with parameters?
 - Dybjer & Moeneclaey 2017: groupoid model
 - Altenkirch, Kaposi, & Kovács 2019: w/ UIP

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⌚ the "edge of understanding": syntax + semantics

- ⓐ DM, AKK (truncated)
- ⓑ **cubical type theories**

Cubical type theories

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- ⟳ Motive: higher type theories w/ computational meaning

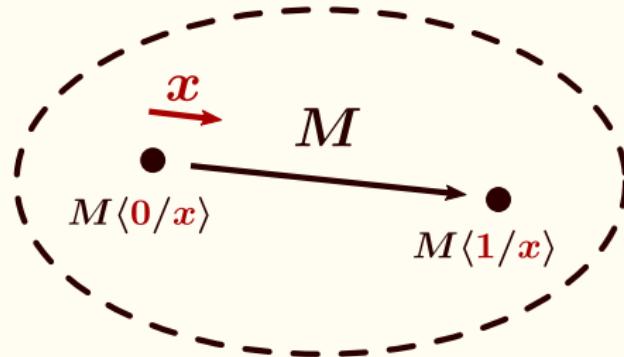
Cubical type theories

- Motive: higher type theories w/ computational meaning
- Several varieties:
 - De Morgan cubes
Cohen, Coquand, Huber, & Mörtberg 2015
 - Cartesian cubes
Angiuli, Favonia, & Harper 2018
Angiuli, Brunerie, Coquand, Favonia, Licata,
& Harper 2019
 - Substructural cubes
Bezem, Coquand, & Huber 2013&2017

Cubical type theories

⌚ Motive: higher type theories w/ computational meaning

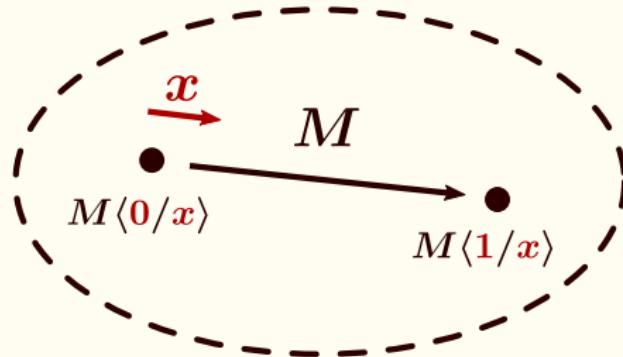
$$M \in A[\Psi, \mathbf{x}]$$



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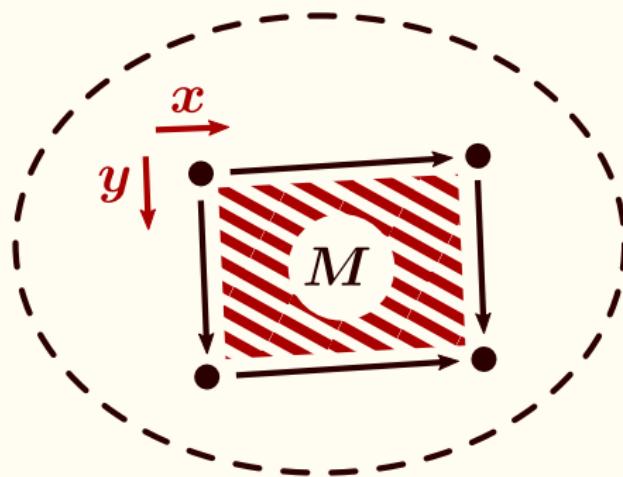


$$\lambda^{\mathbb{I}} \mathbf{x}. M \in \text{Path}_{\mathbf{x}.A}(M\langle 0/x \rangle, M\langle 1/x \rangle) [\Psi]$$

Cubical type theories

⌚ Motive: higher type theories w/ computational meaning

$$M \in A[\Psi, \mathbf{x}, \mathbf{y}]$$



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- ⌚ Useful for practical formalization
 - early motivation: Licata & Brunerie 2015

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 - also: constructive denotational models
 - also: *any* models for many HITs
- ⌚ Useful for practical formalization
 - early motivation: Licata & Brunerie 2015
- ⌚ For HoTT purists: laboratory of higher ideas

Cubical inductive types: syntax

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- Higher constructors via dimension arguments

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data int where
| neg(n : nat) : int
| pos(n : nat) : int
| seg(x : I) : int [x = 0 ↣ neg(0) | x = 1 ↣ pos(0)]
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- Path constructors live in the inductive type
- Scales well to >1-d (spheres, torus, . . .)

Cubical inductive types: syntax

- ⌚ **Elimination:** pattern matching + coherence reqs

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⌚ **Elimination:** pattern matching + coherence reqs

elim Z

- | neg(n) $\rightarrow M_- \in P(\text{neg}(n))$
- | pos(n) $\rightarrow M_+ \in P(\text{pos}(n))$
- | seg(x) $\rightarrow M_0 \in P(\text{seg}(x))$

Cubical inductive types: syntax

⌚ **Elimination:** pattern matching + coherence reqs

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| $\text{neg}(n) \rightarrow M_- \in P(\text{neg}(n))$

| $\text{pos}(n) \rightarrow M_+ \in P(\text{pos}(n))$

| $\text{seg}(\textcolor{red}{x}) \rightarrow M_0 \in P(\text{seg}(\textcolor{red}{x}))$ $\begin{pmatrix} M_0\langle \mathbf{0}/\textcolor{red}{x} \rangle = M_-[0/n] \\ M_0\langle \mathbf{1}/\textcolor{red}{x} \rangle = M_+[0/n] \end{pmatrix}$

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- ⌚ reduces when applied to a constructor

$$\text{elim } (\text{seg}(\textcolor{red}{y})) [\dots] = M_0\langle \textcolor{red}{y}/\textcolor{red}{x} \rangle$$

Cubical inductive types: syntax

- ⌚ Dealing with higher dimensions

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⌚ Dealing with higher dimensions

→ HITs with >1-d constructors

data sphere **where**

| base : sphere

| surf($x : \mathbb{I}, y : \mathbb{I}$) : sphere

[$x = 0 | x = 1 | y = 0 | y = 1 \hookrightarrow \text{base}$]

Cubical inductive types: syntax

○ Dealing with higher dimensions

→ HITs with >1-d constructors

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→ pattern-matching on two HITs at once

elim Z_1, Z_2

| seg(x), seg(y) $\rightarrow M_{00}$

:

Cubical inductive types: syntax

↻ C & Harper: schema for indexed cubical HITs

```
data X where
| constr1
  (a1 : A1) ⋯ (ak : Ak)
  (b1 : B1) ⋯ (bk : Bk)
  (x1, ..., xℓ : dim)
  [r1 = r'1 ↪ M1 | ⋯ | rj = r'j ↪ Mj]
| constrn ⋯
```

Cubical inductive types: syntax

↻ C & Harper: schema for indexed cubical HITs

```
data X where
| constr1
  (a1 : A1) ⋯ (ak : Ak) ← non-recursive
  (b1 : B1) ⋯ (bk : Bk) ← recursive
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B ::= X | (a : A) → B

Cubical inductive types: syntax

↻ C & Harper: schema for indexed cubical HITs

data X where

| constr₁

$(a_1 : A_1) \cdots (a_k : A_k)$ ← non-recursive

$(b_1 : B_1) \cdots (b_k : B_k)$ ← recursive

$(x_1, \dots, x_\ell : \text{dim})$ ← dimensions

$[r_1 = r'_1 \hookrightarrow M_1 \mid \cdots \mid r_j = r'_j \hookrightarrow M_j]$ ← boundary

| constr_n ...

$B ::= X \mid (a : A) \rightarrow B$

Cubical inductive types: syntax

○ C & Harper: schema for indexed cubical HITs

data X where

| constr₁

($a_1 : A_1$) \cdots ($a_k : A_k$) \leftarrow non-recursive

($b_1 : \textcolor{brown}{B}_1$) \cdots ($b_k : \textcolor{brown}{B}_k$) \leftarrow recursive

($x_1, \dots, x_\ell : \text{dim}$) \leftarrow dimensions

[$r_1 = r'_1 \hookrightarrow M_1$ | \cdots | $r_j = r'_j \hookrightarrow M_j$] \leftarrow boundary

| constr_n \cdots

$\textcolor{brown}{B} ::= \text{X} \mid (a : A) \rightarrow \text{B}$

$\textcolor{brown}{M} ::= \textcolor{brown}{b} \mid \text{constr}_i(\vec{M}, \vec{\textcolor{brown}{M}}, \vec{r}) \mid \text{hcom}(\cdots) \mid \lambda a. \text{M} \mid \textcolor{brown}{M} M$

Cubical inductive types: semantics

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② Ingredients of a cubical type

Cubical inductive types: semantics

↻ Ingredients of a cubical type

→ Values

Cubical inductive types: semantics

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→ Values

$$\langle M, N \rangle \in A \times B$$

$$\lambda a. N \in A \rightarrow B$$

$$\lambda^{\mathbb{I}} \textcolor{red}{x}. N \in \text{Path}_{\textcolor{red}{x}. A}(M_0, M_1)$$

$$?? \in \text{int}$$

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→ **Coercion** and **composition** operations

Interlude: semantics of cubical type theory

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- ⌚ Coercion: all constructions respect paths

Interlude: semantics of cubical type theory

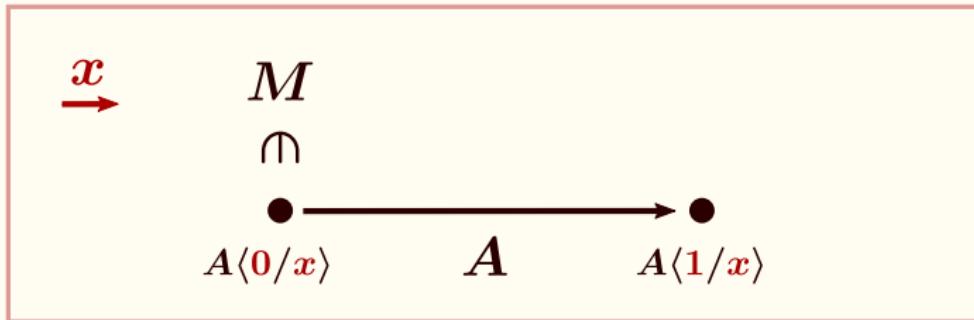
○ Coercion: all constructions respect paths

$$\frac{A \text{ type } [\Psi, \mathbf{x}] \quad M \in A\langle \mathbf{r}/\mathbf{x} \rangle \text{ } [\Psi]}{\text{coe}_{\mathbf{x}.A}^{\mathbf{r} \rightsquigarrow \mathbf{s}}(M) \in A\langle \mathbf{s}/\mathbf{x} \rangle \text{ } [\Psi]}$$

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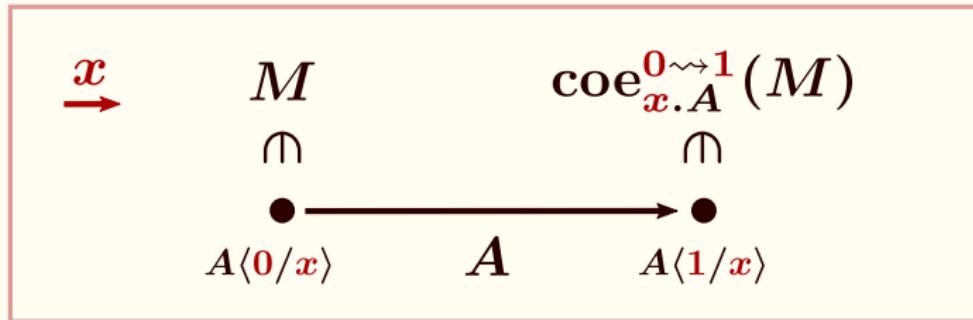
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Interlude: semantics of cubical type theory

- ⌚ Coercion: all constructions respect paths
 - Evaluate by cases on the type line

Interlude: semantics of cubical type theory

○ Coercion: all constructions respect paths

→ Evaluate by cases on the type line

$$\text{coe}_{\mathbf{x}.A \times B}^{\mathbf{r} \rightsquigarrow \mathbf{s}}(\langle M, N \rangle)$$



$$\langle \text{coe}_{\mathbf{x}.A}^{\mathbf{r} \rightsquigarrow \mathbf{s}}(M), \text{coe}_{\mathbf{x}.B}^{\mathbf{r} \rightsquigarrow \mathbf{s}}(N) \rangle$$

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$$\text{coe}_{\mathbf{x}.\text{Path}_{\mathbf{y}.A}(M_0, M_1)}^{r \rightsquigarrow s}(\lambda^{\mathbb{I}} \mathbf{y}. N) \longmapsto ??$$

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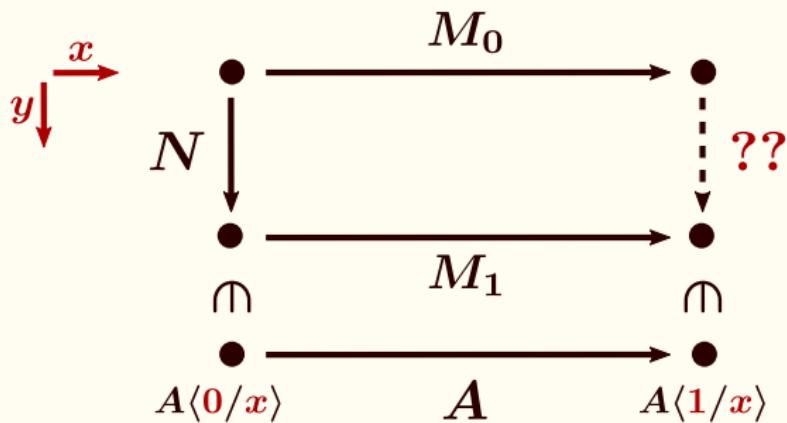


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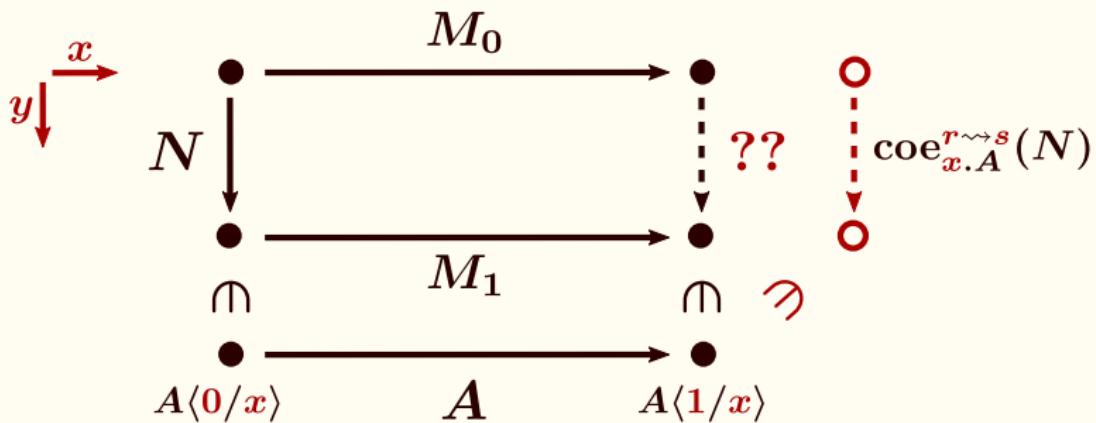


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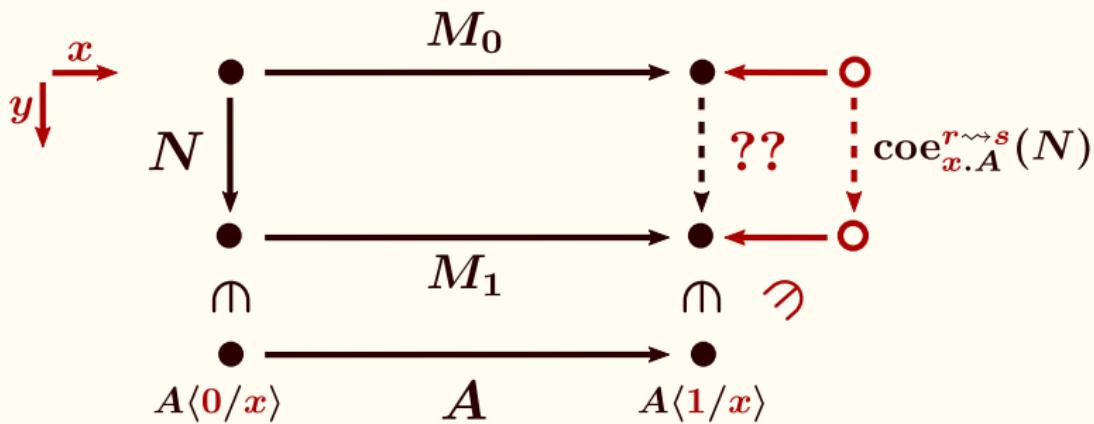


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Interlude: semantics of cubical type theory

⌚ **Composition:** strengthening the induction hypothesis

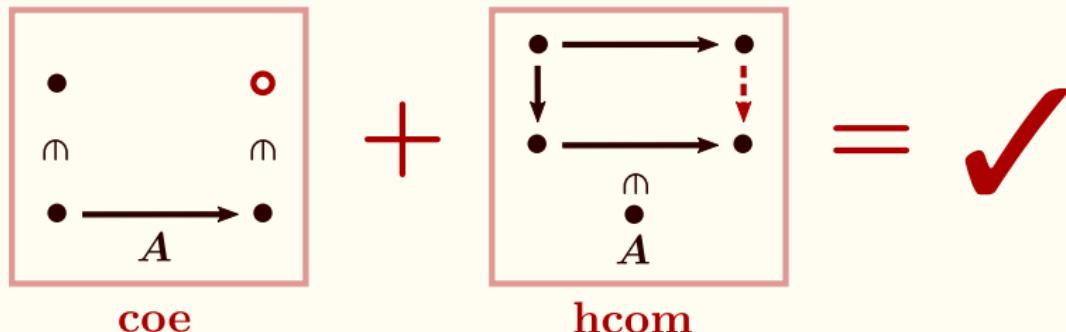
Interlude: semantics of cubical type theory

- ⌚ **Composition:** strengthening the induction hypothesis
- Ⓐ add homogeneous composition

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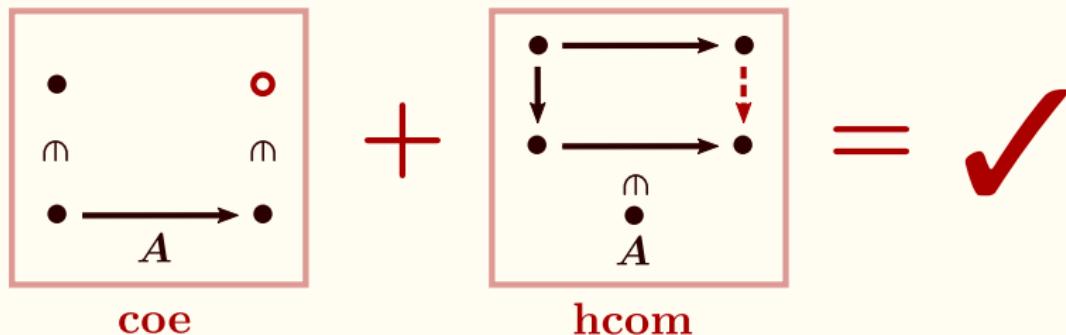
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Interlude: semantics of cubical type theory

⌚ Composition: strengthening the induction hypothesis

Ⓐ add homogeneous composition



(Ⓑ generalize **coe** to heterogeneous composition)

Cubical inductive types: semantics

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- ⟳ What are the **values** of a higher inductive type?

Cubical inductive types: semantics

⟳ What are the **values** of a higher inductive type?

$A : \mathcal{U}, R : A \times A \rightarrow \mathcal{U} \vdash \text{data quo where}$

| $\text{pt}(a : A) : \text{quo}$

| $\text{rel}(a : A, b : A, u : R\langle a, b \rangle, x : \mathbb{I}) : \text{quo}$

$[x = 0 \hookrightarrow \text{pt}(a) \mid x = 1 \hookrightarrow \text{pt}(b)]$

Cubical inductive types: semantics

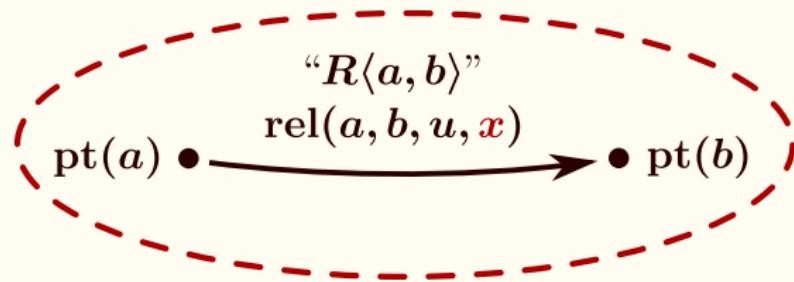
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$\text{pt}(M)$ **val**

$\text{rel}(M, N, P, x)$ **val**

$$\left(\begin{array}{l} \text{rel}(M, N, P, 0) \rightarrowtail \text{pt}(M) \\ \text{rel}(M, N, P, 1) \rightarrowtail \text{pt}(N) \end{array} \right)$$

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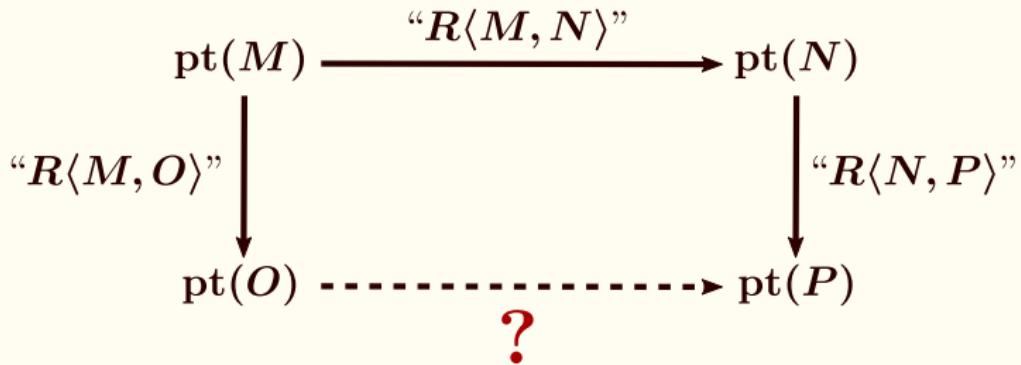
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⌚ Can we implement **coercion** and **composition**?

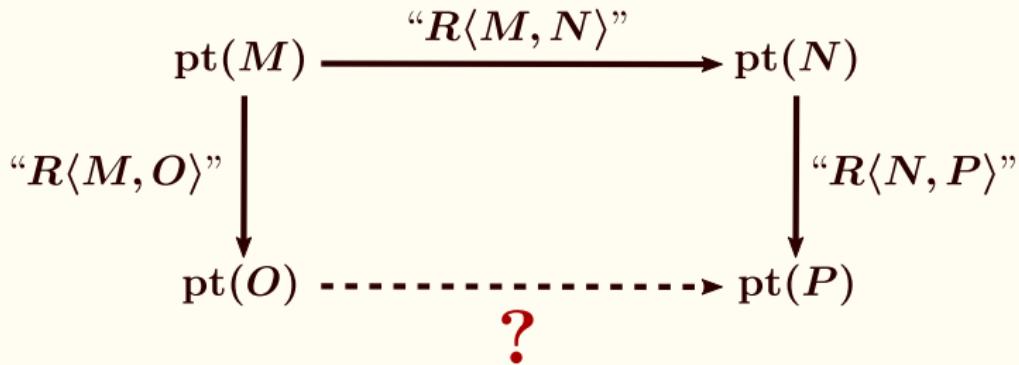
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Cubical inductive types: semantics

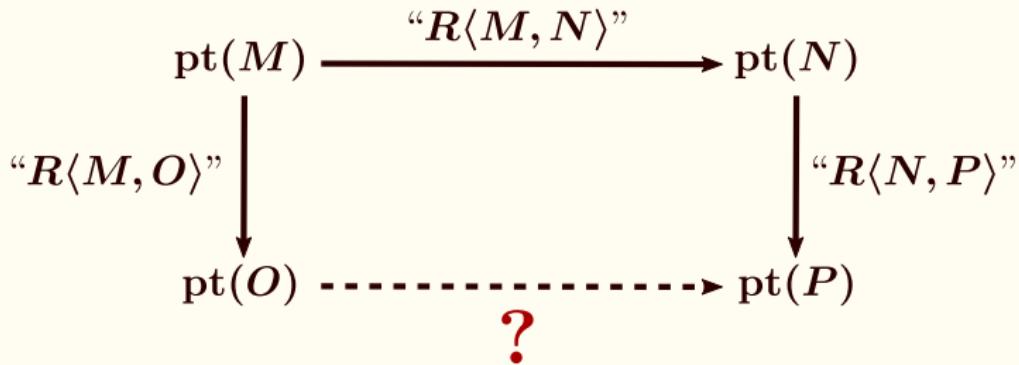
⌚ What are the **values** of a higher inductive type?



⌚ Unless \mathcal{R} is symmetric and transitive, $?$ may not exist

Cubical inductive types: semantics

- What are the **values** of a higher inductive type?



- Unless R is symmetric and transitive, $?$ may not exist
⇒ Must revise our choice of values

Cubical inductive types: semantics

- ⟳ Idea: freely add homogeneous composition values

Cubical inductive types: semantics

- ↻ Idea: freely add homogeneous composition values

$$\begin{array}{c} \text{pt}(M) \text{ val} \qquad \text{rel}(M, N, P, x) \text{ val} \\ \left(\begin{array}{l} \text{rel}(M, N, P, 0) \hookrightarrow \text{pt}(M) \\ \text{rel}(M, N, P, 1) \hookrightarrow \text{pt}(N) \end{array} \right) \end{array}$$

Cubical inductive types: semantics

- ↻ Idea: freely add homogeneous composition values

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$$\text{hcom} \left(\begin{array}{ccc} \bullet & \longrightarrow & \bullet \\ \downarrow & & \downarrow \\ \bullet & \longrightarrow & \bullet \end{array} \right) \text{ val}$$

(+ boundary reductions)

Cubical inductive types: semantics

- ↻ Idea: freely add homogeneous composition values

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(+ boundary reductions)

- ↻ Eliminator maps hcom values to hcoms in the target

Cubical inductive types: semantics

- ⟳ Implement coercion by cases

Cubical inductive types: semantics

↻ Implement coercion by cases

$$\text{coe}_{\textcolor{red}{x}.\text{quo}(A,R)}^{\textcolor{red}{r}\rightsquigarrow \textcolor{red}{s}}(\text{pt}(M)) \xrightarrow{\textcolor{red}{\longrightarrow}} \text{pt}(\text{coe}_{\textcolor{red}{x}.A}^{\textcolor{red}{r}\rightsquigarrow \textcolor{red}{s}}(M))$$

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$$\text{coe}_{\textcolor{red}{x}.\text{quo}(A,R)}^{\textcolor{red}{r} \rightsquigarrow \textcolor{red}{s}}(\text{rel}(M,N,P,\textcolor{red}{y})) \xrightarrow{\textcolor{red}{\longrightarrow}} \text{rel}(\text{coe}_{\textcolor{red}{x}.A}^{\textcolor{red}{r} \rightsquigarrow \textcolor{red}{s}}(M), \dots, \dots, \textcolor{red}{y})$$

Cubical inductive types: semantics

↻ Implement coercion by cases

$$\text{coe}_{\mathbf{x}.\text{quo}(A,R)}^{r \rightsquigarrow s}(\text{pt}(M)) \xrightarrow{\quad} \text{pt}(\text{coe}_{\mathbf{x}.A}^{r \rightsquigarrow s}(M))$$

$$\text{coe}_{\mathbf{x}.\text{quo}(A,R)}^{r \rightsquigarrow s}(\text{rel}(M, N, P, \mathbf{y})) \xrightarrow{\quad} \text{rel}(\text{coe}_{\mathbf{x}.A}^{r \rightsquigarrow s}(M), \dots, \dots, \mathbf{y})$$

$$\text{coe}_{\mathbf{x}.\text{quo}(A,R)}^{r \rightsquigarrow s}(\text{hcom}(\dots)) \xrightarrow{\quad} \text{hcom}(\text{coe}_{\mathbf{x}.\text{quo}(A,R)}^{r \rightsquigarrow s}(\dots))$$

Cubical inductive types: semantics

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$$\frac{A \in \mathcal{U} \ [\Psi, \mathbf{x}] \quad R \in A \times A \rightarrow \mathcal{U} \ [\Psi, \mathbf{x}] \quad M \in \text{quo}(A, R) \langle \mathbf{r}/\mathbf{x} \rangle \ [\Psi]}{\text{coe}_{\mathbf{x}.\text{quo}(A,R)}^{r \rightsquigarrow s}(M) \in \text{quo}(A, R) \langle \mathbf{s}/\mathbf{x} \rangle \ [\Psi]}$$

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→ cf. Lumsdaine & Shulman

Cubical inductive types: semantics

Theorem (**Canonicity**).

Any term in a HIT evaluates to a value.

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- ↻ Add free **coercion values** for coercion between indices
 - Coercion in **parameters** still reduces
 - Size depends on size of indices, but not parameters

Cubical type theory and the future of HITs

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- ⟳ Going further
 - inductive-inductive types (Hugunin 2019)
 - implementation (`redtt`, Cubical Agda)
 - more flexible schemata